

**Additional Questions for Award Internal Approval Form
for Gifts and Unrestricted Grants**

In order to perform the appropriate review College Research Administrators need additional information regarding the subject gift or unrestricted grant. This information needs to be provided and certified by the PI.

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| 1. Does the company expect to get or have you promised the company any intellectual property rights or technology transfer as a result of company funding?
Explanation: _____ | <div style="border: 1px solid black; padding: 2px; display: inline-block;">1. ___ Yes ___ No</div> |
| 2. Is there an active materials transfer agreement associated with this company?
Explanation: _____ | <div style="border: 1px solid black; padding: 2px; display: inline-block;">2. ___ Yes ___ No</div> |
| 3. Are you currently or have you in the past year consulted with or rendered any other service, whether compensated or not, to this company?
Explanation: _____ | <div style="border: 1px solid black; padding: 2px; display: inline-block;">3. ___ Yes ___ No</div> |
| 4. Have you made any arrangements with the company that would prohibit or restrict publications?
Explanation: _____ | <div style="border: 1px solid black; padding: 2px; display: inline-block;">4. ___ Yes ___ No</div> |
| 5. Do you intend to publish the results of this research?
Explanation: _____ | <div style="border: 1px solid black; padding: 2px; display: inline-block;">5. ___ Yes ___ No</div> |
| 6. Do you (either personally or through the University) have a confidentiality or non-disclosure agreement with this company?
Explanation: _____ | <div style="border: 1px solid black; padding: 2px; display: inline-block;">6. ___ Yes ___ No</div> |
| 7. Will the results of this research be shared with the company?
Explanation: _____ | <div style="border: 1px solid black; padding: 2px; display: inline-block;">7. ___ Yes ___ No</div> |
| 8. Will results be provided openly to others, including other similar companies?
Explanation: _____ | <div style="border: 1px solid black; padding: 2px; display: inline-block;">8. ___ Yes ___ No</div> |
| 9. Is this payment made in consideration for any goods or services?
Explanation: _____ | <div style="border: 1px solid black; padding: 2px; display: inline-block;">9. ___ Yes ___ No</div> |
| 10. Are you testing a product of/for the company?
Explanation: _____ | <div style="border: 1px solid black; padding: 2px; display: inline-block;">10. ___ Yes ___ No</div> |
| 11. If testing of a product is involved, are you testing similar products or materials (e.g., seeds) of other companies?
Explanation: _____ | <div style="border: 1px solid black; padding: 2px; display: inline-block;">11. ___ Yes ___ No</div> |
| 12. Is the company also supporting your research at this time with a separate grant or contract?
Explanation: _____ | <div style="border: 1px solid black; padding: 2px; display: inline-block;">12. ___ Yes ___ No</div> |
| 13. Are you working with another Penn State faculty member who is receiving funding from this company for the same or similar research?
Explanation: _____ | <div style="border: 1px solid black; padding: 2px; display: inline-block;">13. ___ Yes ___ No</div> |
| 14. Do you have an equity position in this company or do you hold any position, either compensated or not, with the company? (For instance, are you a member of the company's board or do you function as the company's science/technology adviser?)
Explanation: _____ | <div style="border: 1px solid black; padding: 2px; display: inline-block;">14. ___ Yes ___ No</div> |

ROCR # _____
(if applicable)

Budget/Fund # _____

Check # _____

I certify that the answers to the above questions are true and accurate to the best of my knowledge.

Principal Investigator