

Questions for Processing Income into Miscellaneous General Research (MGR) Accounts

In order to perform the appropriate review College Financial Officers need additional information regarding the subject income. This information needs to be provided and certified by the faculty member.

1. Does the company expect to get or have you promised the company any intellectual property rights or technology transfer as a result of their funding?
Explanation: _____ 1. ___ Yes ___ No
2. Is there an active materials transfer agreement associated with this company?
Explanation: _____ 2. ___ Yes ___ No
3. Are you currently or have you in the past year consulted with or rendered any other service, whether compensated or not, to this company?
Explanation: _____ 3. ___ Yes ___ No
4. Have you made any arrangements with the company that would prohibit or restrict publications?
Explanation: _____ 4. ___ Yes ___ No
5. Do you intend to publish the results of this research?
Explanation: _____ 5. ___ Yes ___ No
6. Do you (either personally or through the University) have a confidentiality or non-disclosure agreement with this company?
Explanation: _____ 6. ___ Yes ___ No
7. Will the results of this research be shared with the company?
Explanation: _____ 7. ___ Yes ___ No
8. Will results be provided openly to others, including other similar companies?
Explanation: _____ 8. ___ Yes ___ No
9. Is this payment made in consideration for any goods or services?
Explanation: _____ 9. ___ Yes ___ No
10. Do you have a Controller-approved rate to charge for these goods or services?
Explanation: _____ 10. ___ Yes ___ No
11. Are the goods or services you are offering available from the private sector?
Explanation: _____ 11. ___ Yes ___ No
12. Are you testing a product of the company?
Explanation: _____ 12. ___ Yes ___ No
13. If testing of a product is involved, are you testing similar products or materials (e.g., seeds) of other companies?
Explanation: _____ 13. ___ Yes ___ No
14. Is the company also supporting your research at this time with a separate grant or contract, or is the company currently licensing patents or technology from the University?
Explanation: _____ 14. ___ Yes ___ No
15. Are you working with another Penn State faculty member who is receiving funding from this company for the same or similar research?
Explanation: _____ 15. ___ Yes ___ No
16. Do you have an equity position in this company or do you hold any position, either compensated or not, with the company (For instance, are you a member of the company's board or do you function as the company's science/technology adviser?)
Explanation: _____ 16. ___ Yes ___ No

I certify that the answers to the above questions are true and accurate to the best of my knowledge.

Faculty Member